

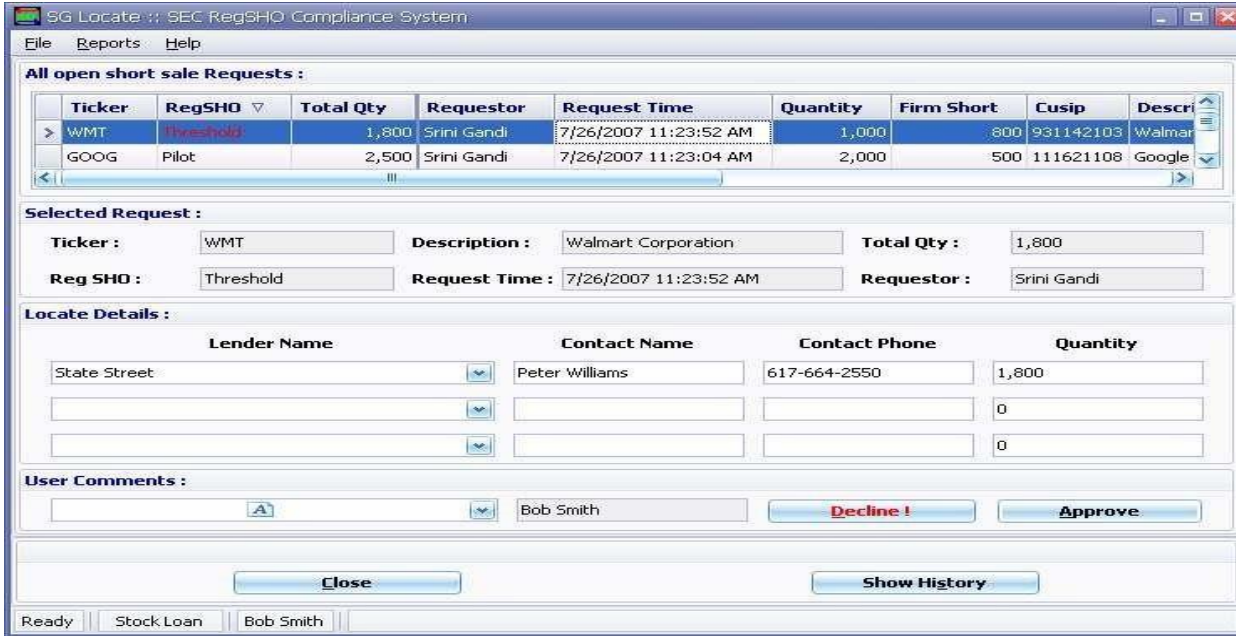


SG Locate is a SEC RegSHO compliance system that helps security industry firms stay in compliance with RegSHO requirements by locating, effecting borrow securities, and recording short sale and locate transactions with counterparty contact information.

Salient Features :

- Real-time communication between Trading and Stock Loan teams with short sale requests and Locate status.
- Interfaces and easily integrates with daily Threshold, Pilot securities from exchanges, internal Short Positions and Easy Loans for easy locate.
- Audit Trail – Records all short sale transactions, tracks user login, alerts and responses.
- Data Upload and Analysis – View, search, filter RegSHO data, short sale locate transactions and upload all or filtered data into excel for analysis.
- Maintains Lender contact information to locate or effect borrow securities.
- Login and Administrative Support – Supports login using windows authentication and SG Locate user id/password, provides administrative interface to manage users, and enforces password policy.
- Reports – Generates current and previous month short sale reports. Allows user to upload, save and e-mail reports in various formats including pdf, excel, rtf, etc.,
- Low cost solution and easy to maintain windows based application.

SG Locate - Stock Loan user screen



For further information, client references and pricing of this product please contact us at Ph. 732-2218017 or sales@sgsystemscorp.com